

County Offices  
Newland  
Lincoln  
LN1 1YL

20 January 2017

**Audit Committee**

A meeting of the Audit Committee will be held on **Monday, 30 January 2017 at 10.00am in Committee Room One, County Offices, Newland, Lincoln LN1 1YL** for the transaction of the business set out on the attached Agenda.

Yours sincerely



Tony McArdle  
Chief Executive

**Membership of the Audit Committee**  
**(7 Members of the Council + 1 Voting Added Member)**

Councillors Mrs S Rawlins (Chairman), Mrs E J Sneath (Vice-Chairman), N I Jackson, Miss F E E Ransome, S M Tweedale, W S Webb and P Wood

**Voting Added Member**

Mr P D Finch, Independent Added Person



**AUDIT COMMITTEE AGENDA  
MONDAY, 30 JANUARY 2017**

<b>Item</b>	<b>Title</b>	<b>Pages</b>
<b>1</b>	<b>Apologies for Absence</b>	
<b>2</b>	<b>Declaration of Members' Interest</b>	
<b>3</b>	<b>Minutes of the Meeting held on 21 November 2016</b>	5 - 14
<b>4</b>	<b>Combined Assurance Status Reports</b> <i>(To receive a report from Lucy Pledge, Audit and Risk Manager, which provides the Audit Committee with an insight on the assurances across all the Council's critical services, key risks and projects)</i>	15 - 164
<b>5</b>	<b>IT Assurance</b> <i>(To receive a report from Simon Oliver, Chief Technology Officer, which provides the Committee with an insight into the assurance status for Information Management Technology)</i>	165 - 176
<b>6</b>	<b>Internal Audit Progress report</b> <i>(To receive a report by Rachel Abbott, Audit Team Leader, which provides an update on internal audit work undertaken in the period 12 September 2016 to 12 January 2017)</i>	177 - 230
<b>7</b>	<b>External Audit Progress Report</b> <i>(To receive a report from KPMG, the County Council's External Auditors, giving an update on the 2016/17 Audit deliverables)</i>	231 - 246
<b>8</b>	<b>Risk Management Progress Report - January 2017</b> <i>(To receive a report from Debbie Bowring, Principal Risk an Assurance Manager, which provides an update on how well the Council's biggest risks are being managed)</i>	247 - 272
<b>9</b>	<b>Work Plan</b> <i>(To receive a report from Lucy Pledge, Audit and Risk Manager, which provides the Committee with information on the core assurance activities currently scheduled for the 2016/17 work plan)</i>	273 - 280
<b>REPORTS FOR INFORMATION</b>		
<i>(These reports are for information only and do not require discussion at this time. Any issues the Committee feel require discussion can be highlighted under the work plan item and scheduled for a future meeting)</i>		
<b>10</b>	<b>Data protection Audit by the Information Commissioner's Office</b> <i>(To receive a copy of the Data Protection Audit by the Information Commissioners Office, for information only)</i>	281 - 286

**11 KPMG Annual Audit Letter**

287 - 292

*(To receive a copy of the Annual Audit Letter by KPMG, the Council's External Auditors, for information only)*

Democratic Services Officer Contact Details

Name: **Rachel Wilson**

Direct Dial **01522 552107**

E Mail Address [rachel.wilson@lincolnshire.gov.uk](mailto:rachel.wilson@lincolnshire.gov.uk)

**Please note:** for more information about any of the following please contact the Democratic Services Officer responsible for servicing this meeting

- Business of the meeting
- Any special arrangements
- Copies of reports

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